**Chris Reynolds**

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# Profile

A determined professional with extensive experience within the Securities Lending industry, covering all aspects of the business, ranging from daily Operations, Legal and regulatory review to client on-boarding and management of sales and trading desks. Proven ability in building a valuable team ethic to achieve revenue targets in a risk and regulatory environment.

# Core Skills

* Relationship management
* Diplomacy and Team Building
* System solutions
* Front to back IB processes
* Process mapping
* BAU Management

# Experience

## collateral management specialist | mufg securities emea | oct 2018 – present

Key Responsibilities

* Management of daily margin obligations for all SBL and Repo transactions.
* Monitor settlement to project collateral and funding requirements and future short positions.
* Exception monitoring and break resolution.
* Liaise with counterparties, traders, legal and other support areas to ensure maximum internal and external client satisfaction.
* Ensure procedures and controls are updated.

## manager asian lending & securities finance | sumitomo mitsui trust international | LONDON | Sept 2005 – Sept 2018

Key Responsibilities

* Responsibility for SBL/Equity Financing BAU: sales, trading and operations
* Accountable executive for repo product trading and sales.
* Representing the company within the Securities Lending industry, being the first point of contact for establishing and development of client relationships.
* Coordinate strategic goals in collaboration with Tokyo and New York offices, to enhance the companies lending opportunities and build revenue.
* Ensure the desk is operating effectively within the required risk and credit limits.
* Driving the development of the lending system, with specific mandate to improve response times and increase portfolio utilization.
* Provide semi-annual results analysis, budget planning and staff appraisals.
* Liaise with business vendors to negotiate renewal of existing agreements to ensure coverage.
* Ownership of regulatory compliance for the business, partnering with Legal and Compliance colleagues.
* Business continuity planning and management of DR testing and reporting.

## OPERATIONS | JP MORGAN AUSTRALIA LIMITED | SYDNEY | JUNE 2003 – AUG 2005

Key Responsibilities

* Settlement of APAC Equity trades – Reconciliation of nostro/stock accounts
* Daily funding projection – KPI Reporting – SWIFT Origination
* Supported senior management in relocating operations tasks from HK to Sydney
* Trained operational staff from Bangalore office for transition of operational functions.

## associate director | macquarie bank – equity markets group | SYDNEY | dec 2000 – dec 2002

Key Responsibilities

* Responsibility for Domestic shorts book
* Matched book lending in all Asian markets – Domestic DRP trading
* AUD/HKD Equity Finance swaps – Warrant issuance coverage
* Support UK, South Africa & Brazil offices in collateral financing
* System gap analysis – software integration – UAT/Parallel testing.
* Client introductions and relationship building.

## senior dealer | lazard brothers & co limited | london | sept 1989 – mar 2000

* Gilt Stock Lending desk (1989 – 1991)
* International Equity Lending desk (1991- 1998)
* UK Equity Finance desk (1998 – 2000)
* Acting as a Stock Exchange Moneybroker for Gilt Edged lending
* Matched book intermediary lending in UK and Global Equities
* Matched book lending in corporate bonds and ADRS
* Equity repo and swaps
* Structured dividend arbitrage
* Daily collateral management – Certificates of Deposit/Cash/DBV

# Professional Qualifications

* Financial Regulation Unit 01 - Securities & Investment Institute
* Financial Markets and Applied Economics - Securities Institute Australia

# Systems

* MS Excel/Word/PowerPoint
* SWIFT
* Crest
* Bloomberg
* Broadridge
* Data Explorers
* Global One
* Equilend NGT
* MUREX
* Pirum
* APEX
* GLOSS
* MARKIT